

# EE482: Digital Signal Processing Applications

Spring 2014

TTh 14:30-15:45 CBC C222

Lecture 14

Quiz 04 Review

14/04/07

# Outline

- Random Processes
  - Autocorrelation, white noise, expectation
- Adaptive Signal Processing
  - Adaptive filtering, LMS, applications
- Speech Signal Processing
  - LPC, CELP, noise subtraction, recognition
- Audio Signal Processing
  - Masking, MDCT, coding systems, equalizers

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# Autocorrelation

- Specifies statistical relationship of signal at different time lags ( $n - k$ )
  - $r_{xx}(n, k) = E[x(n)x(k)]$
  - Similarity of observations as a function of the time between them (repeating pattern, time-delay, etc.)
- We consider wide sense stationary (WSS) processes
  - Statistics do not change with time
  - Mean independent of time
  - Autocorrelation only depends on time lag
    - $r_{xx}(k) = E[x(n+k)x(n)]$

# Expected Value

- Value of random variable “expected” if random variable process repeated infinite number of times
  - Weighted average of all possible values
- Expectation operator
  - $E[.] = \int_{-\infty}^{\infty} \cdot f(x) dx$
  - $f(x)$  – probability density function of random variable  $X$
- Favorites are mean and variance
  - Mean -  $E[x(n)] = \int_{-\infty}^{\infty} x(n) f(x) dx = m_x$
  - Variance -  $E[(x(n) - m_x)^2]$

# White Noise

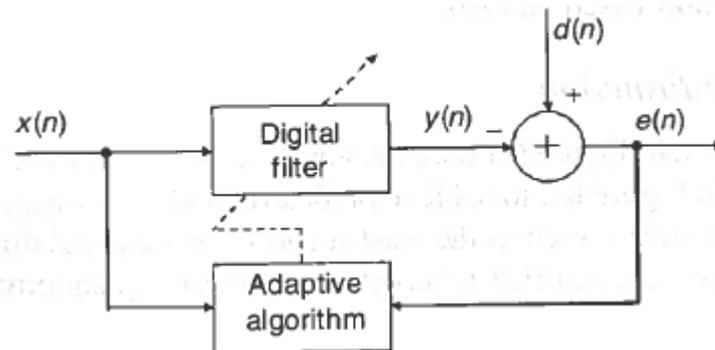
- Very popular random signal
  - Typical noise model
  - $v(n)$  with zero mean and variance  $\sigma_v^2$
- Autocorrelation
  - $r_{vv}(k) = \sigma_v^2 \delta(k)$
  - Statistically uncorrelated except at zero time lag
- Power spectrum
  - $P_{vv}(\omega) = \sigma_v^2, \quad |\omega| \leq \pi$
  - Uniformly distributed over entire frequency range

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# General Adaptive Filter

- Signal characteristics in practical applications are time varying and/or unknown
  - Must modify filter coefficients adaptively in an automated fashion to meet objectives
- Two components
  - Digital filter – defined by coefficients
  - Adaptive algorithm – automatically update filter coefficients (weights)



- Adaption occurs by comparing filtered signal  $y(n)$  with a desired (reference) signal  $d(n)$ 
  - Minimize error  $e(n)$  using a cost function (e.g. mean-square error)
  - Continually lower error and get  $y(n)$  closer to  $d(n)$

# FIR Adaptive Filter

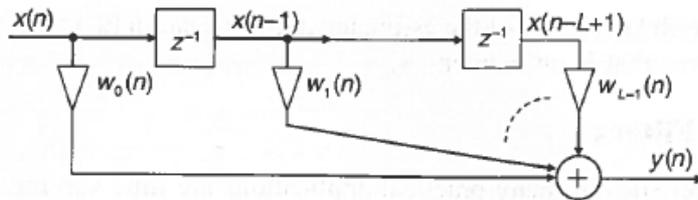


Figure 6.2 Block diagram of time-varying FIR filter for adaptive filtering

- $y(n) = \sum_{l=0}^{L-1} w_l(n)x(n-l)$ 
  - Notice time-varying weights
- In vector form
  - $y(n) = \mathbf{w}^T(n)\mathbf{x}(n) = \mathbf{x}^T(n)\mathbf{w}(n)$
  - $\mathbf{x}(n) = [x(n), x(n-1), \dots, x(n-L+1)]^T$
  - $\mathbf{w}(n) = [w_0(n), w_1(n), \dots, w_{L-1}(n)]^T$
- Error signal
  - $e(n) = d(n) - y(n) = d(n) - \mathbf{w}^T(n)\mathbf{x}(n)$

- Use mean-square error (MSE) cost function
  - $\xi(n) = E[e^2(n)]$
  - $\xi(n) = E[d^2(n)] - 2\mathbf{p}^T\mathbf{w}(n) + \mathbf{w}^T(n)\mathbf{R}\mathbf{w}(n)$ 
    - $\mathbf{p} = E[d(n)\mathbf{x}(n)] = [r_{dx}(0), r_{dx}(1), \dots, r_{dx}(L-1)]^T$
    - $\mathbf{R}$  – autocorrelation matrix
      - $\mathbf{R} = E[\mathbf{x}(n)\mathbf{x}^T(n)]$
- $$= \begin{bmatrix} r_{xx}(0) & r_{xx}(1) & \dots & r_{xx}(L-1) \\ r_{xx}(1) & r_{xx}(0) & \dots & r_{xx}(L-2) \\ \vdots & \dots & \ddots & \vdots \\ r_{xx}(L-1) & r_{xx}(L-2) & \dots & r_{xx}(0) \end{bmatrix},$$
- Error function is quadratic surface
    - Can use gradient descent
    - $\mathbf{w}(n+1) = \mathbf{w}(n) - \frac{\mu}{2}\nabla\xi(n)$

# LMS Algorithm

- Practical applications do not have knowledge of  $d(n), x(n)$ 
  - Cannot directly compute MSE and gradient
  - Stochastic gradient algorithm
- Use instantaneous squared error to estimate MSE
  - $\hat{\xi}(n) = e^2(n)$
- Gradient estimate
  - $\nabla \hat{\xi}(n) = 2[\nabla e(n)]e(n)$ 
    - $e(n) = d(n) - w^T(n)x(n)$
  - $\nabla \hat{\xi}(n) = -2x(n)e(n)$
- Steepest descent algorithm
  - $w(n+1) = w(n) + \mu x(n)e(n)$
- LMS Steps
  1. Set  $L, \mu$ , and  $w(0)$ 
    - $L$  – filter length
    - $\mu$  – step size (small e.g. 0.01)
    - $w(0)$  – initial filter weights
  2. Compute filter output
    - $y(n) = w^T(n)x(n)$
  3. Compute error signal
    - $e(n) = d(n) - y(n)$
  4. Update weight vector
    - $w_l(n+1) = w_l(n) + \mu x(n-l)e(n)$ ,  
 $l = 0, 1, \dots, L-1$
- Notice this requires a reference signal
- Must choose small  $\mu$  for stability

# Practical Applications

- Four classes of adaptive filtering applications
- System identification – determine unknown system coefficients

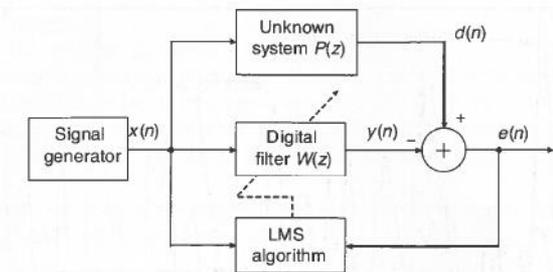


Figure 6.7 Adaptive system identification using the LMS algorithm

- Prediction – estimate future values

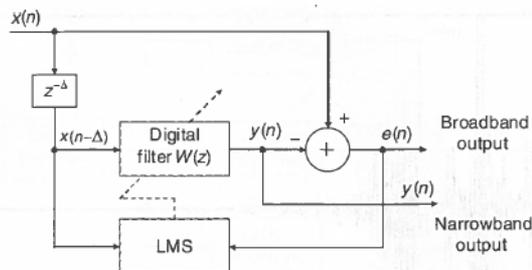


Figure 6.9 Adaptive predictor with the LMS algorithm

- Noise cancellation – remove embedded noise

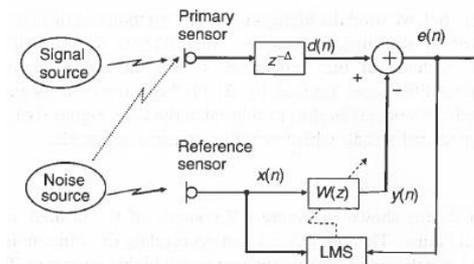


Figure 6.11 Basic concept of adaptive noise canceling

- Inverse modeling – estimate inverse of unknown system

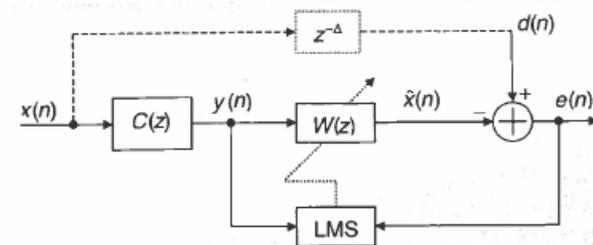


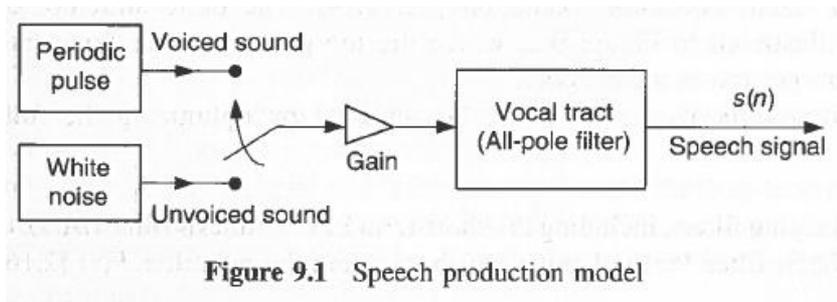
Figure 6.14 An adaptive channel equalizer as an example of inverse modeling

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# Linear Predictive Coding (LPC)

- Speech production model with excitation input, gain, and vocal-tract filter



- Gain represents amount of air from lungs and voice loudness
- Unvoiced (e.g. “s”, “sh”, “f”) – no vibration
  - Use white noise for excitation signal

- Voiced (e.g. vowels) – caused by vibration of vocal-cords with rate of vibration the pitch
  - Modeled with periodic pulse with fundamental (pitch) frequency
  - Generate periodic pulse train for excitation signal
- Vocal tract model
  - Vocal tract is a pipe from vocal cords to oral cavity
  - Modeled as all pole filter
    - Match formants
  - Most important part of LPC model (changes shape to make sounds)

# Code-Excited Linear Prediction (CELP)

- Algorithms based on LPC approach using analysis by synthesis scheme
- Three main components:
  - LPC vocal tract model ( $1/A(z)$ )
    - Solve using Levinson-Durbin recursive algorithm with autocorrelation normal equations
- Perceptual-based minimization ( $W(z)$ )
  - Control sensitivity of error calculation
  - Shape noise so it appears in regions where the ear cannot detect it
    - Place in louder regions of spectrum

$$\begin{bmatrix} r_m(0) & r_m(1) & \dots & r_m(p-1) \\ r_m(1) & r_m(0) & \dots & r_m(p-2) \\ \vdots & \vdots & \ddots & \vdots \\ r_m(p-1) & r_m(p-2) & \dots & r_m(0) \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_p \end{bmatrix} = \begin{bmatrix} r_m(1) \\ r_m(2) \\ \vdots \\ r_m(p) \end{bmatrix}$$

- More coefficients  $\rightarrow$  better match to speech

- Voice activity detection
  - Critical for reduced coding

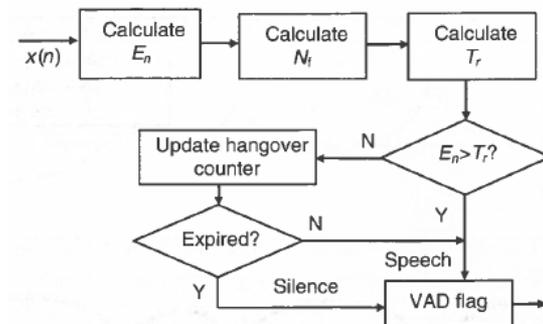


Figure 9.7 Block diagram of simple VAD algorithm

# Noise Subtraction

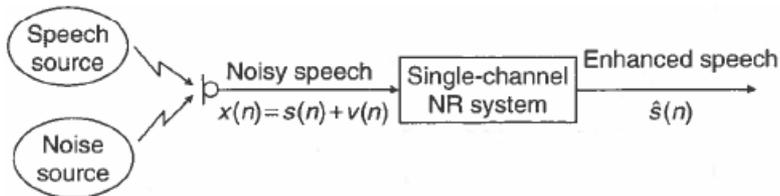


Figure 9.13 A single-channel speech enhancement system

- Input is noisy speech + stationary noise
  - Estimate noise characteristics during silent period between utterances with VAD system
- Spectral subtraction – implemented in frequency domain
  - Based on short-time magnitude spectra estimation
  - $S(k) = H(k)X(k)$ 
    - $H(k) = 1 - \frac{E|V(k)|}{|X(k)|}$

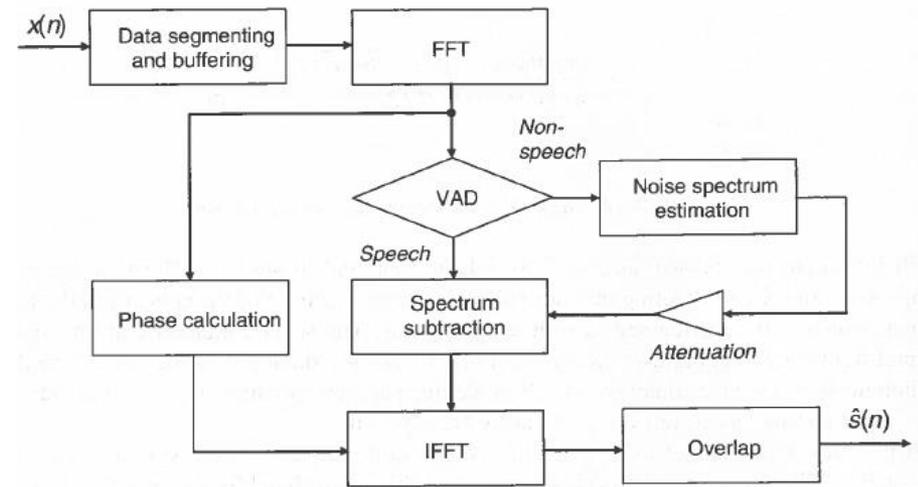
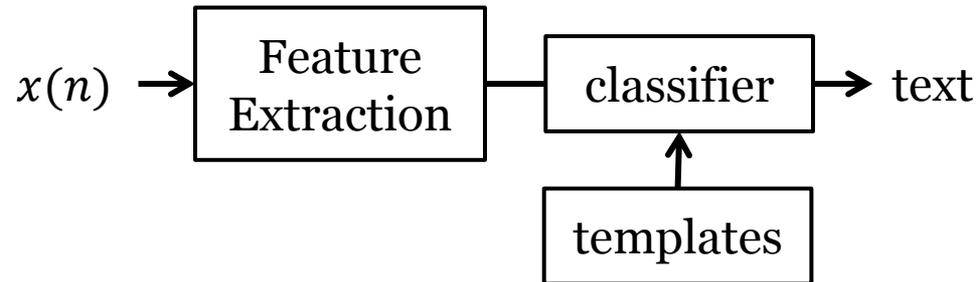


Figure 9.14 Block diagram of the spectral subtraction algorithm

- Subtract estimated noise mag spectrum from input signal
- Reconstruct enhanced speech signal using IFFT
  - Coefficients are difference in mag and original phase

# Speech Recognition



- Feature extraction

- Represent speech content with mel-frequency cepstrum (MFCC) coefficients
  - $c[n] = \mathcal{F}^{-1}\{\log|X(e^{j\omega})|\}$
- Rate of change in spectrum bands
- MFCC use non-linear frequency bands to mimic human perception

- Recognizer system

- Pattern recognition problem
- Must design templates and method to meaningfully compare speech signals
- Big issues: unequal length data
- Two solutions:
  - Dynamic time warping (DTW) – optimal alignment technique for sequences
  - Hidden Markov model – probabilistic model of speech with phoneme state transitions

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# Audio Coding

- Techniques are required to enable high quality sound reproduction efficiently
- Differences with speech
  - Much wider bandwidth (not just 300-1000 Hz)
  - Uses multiple channels
  - Psychoacoustic principles can be utilized for coding
    - Do not code frequency components below hearing threshold
- Lossy compression used based on noise shaping
  - Noise below masking threshold is not audible
- Entropy coding applied
  - Large amount of data from high sampling rate and multi-channels

# Audio Codec

- Codec = coder-decoder

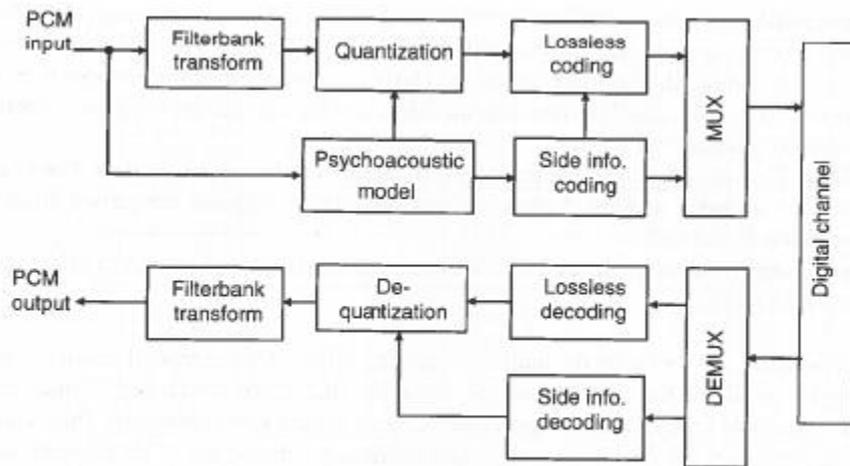


Figure 10.1 Basic structure of audio CODEC

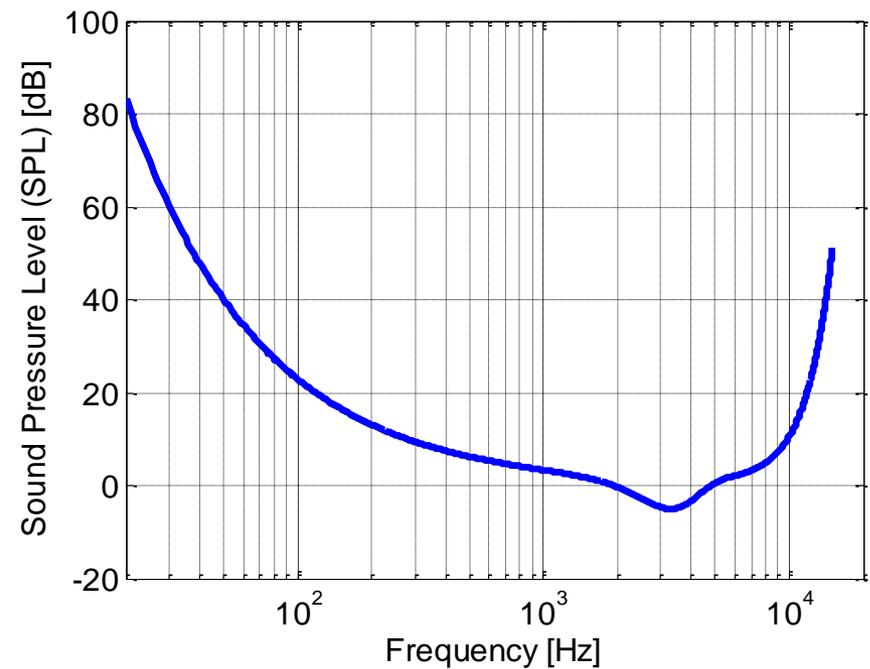
- Filterbank transform
  - Convert between full-band signal (all frequencies) into subbands (modified discrete cosine transform MDCT)
- Psychoacoustic model
  - Calculates thresholds according to human masking effects and used for quantization of MDCT
- Quantization
  - MDCT coefficient quantization of spectral coefficients
- Lossless coding
  - Use entropy coding to reduce redundancy of coded bitstream
- Side information coding
  - Bit allocation information
- Multiplexer
  - Pack all coded bits into bitstream

# Auditory Masking Effects

- Psychoacoustic principle that a low-level signal (maskee) becomes inaudible when a louder signal (masker) occurs simultaneously
- Human hearing does not respond equally to all frequency components
- Auditory masking depends on the spectral distribution of masker and maskee
  - These will vary in time
- Will do noise shaping during encoding to exploit human hearing

# Quiet Threshold

- First step of perceptual coding
  - Shape coding distortion spectrum
- Represent a listener with acute hearing
  - No signal level below threshold will be perceived
- Quiet (absolute) threshold
  - $T_q(f) = 3.64 \left(\frac{f}{1000}\right)^{-0.8} - 6.5e^{-0.6\left(\frac{f}{1000}-3.3\right)^2} + 10^{-3} \left(\frac{f}{1000}\right)^4$  dB
- Most humans cannot sense frequencies outside of 20-20k Hz
  - Range changes in time and narrows with age



# Masking Threshold

- Threshold determined by stimuli at a given time
  - Time-varying threshold
- Human hearing non-linear response to frequency components
- Divide auditory system into 26 critical bands (barks)
  - $z(f) = 13 \tan^{-1}(0.00076f) + 3.5 \tan^{-1}[(f/7500)^2]$  bark
  - Higher bandwidth at higher frequencies
  - Difficult to distinguish frequencies within the same bark
- Simultaneous masking
  - Dominant frequency masks (overpowers) frequencies in same critical band
  - No need to code any other frequency components in bark
- Masking spread
  - Masking effect across adjacent critical bands
  - Use triangular spread function
    - +25 dB/bark lower frequencies
    - -10 dB/bark higher frequencies

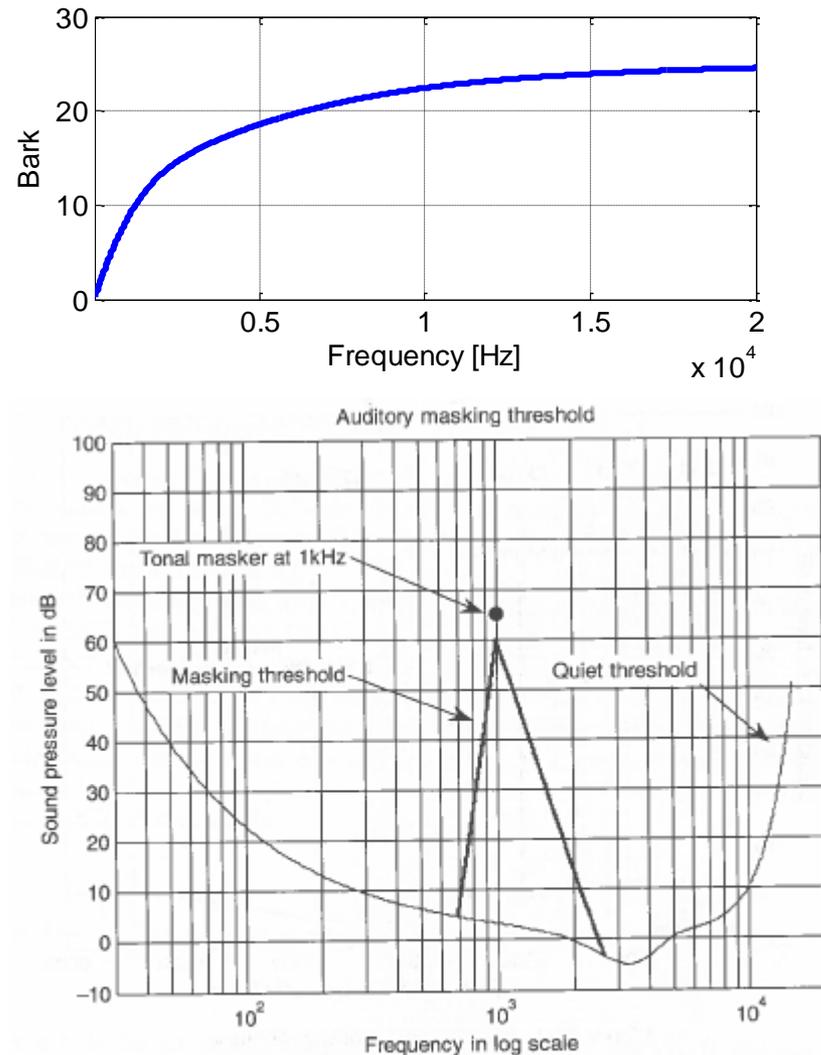


Figure 10.3 Auditory masking thresholds

# Frequency Domain Coding

- Representation of frequency content of signal
- Modified discrete cosine transform (MDCT) widely used for audio
  - DCT energy compaction (lower # of coefficients)
  - Reduced block effects
- MDCT definition
  - $$X(k) = \sum_{n=0}^{N-1} x(n) \cos \left[ \left( n + \frac{N+2}{4} \right) \left( k + \frac{1}{2} \right) \frac{2\pi}{N} \right]$$
  - $$x(n) = \sum_{k=0}^{N/2-1} X(k) \cos \left[ \left( n + \frac{N+2}{4} \right) \left( k + \frac{1}{2} \right) \frac{2\pi}{N} \right]$$
    - $n = 0, 1, \dots, N - 1$
    - $k = 0, 1, \dots, (N/2) - 1$
  - Notice half coefficients for each window
    - Lapped transform (designed with overlapping windows built in)
- Like with FFT, windows are used but must satisfy more conditions (Princen-Bradley condition)
  - Window applied both to analysis (MDCT) and synthesis (iMDCT) equations

# Audio Coding

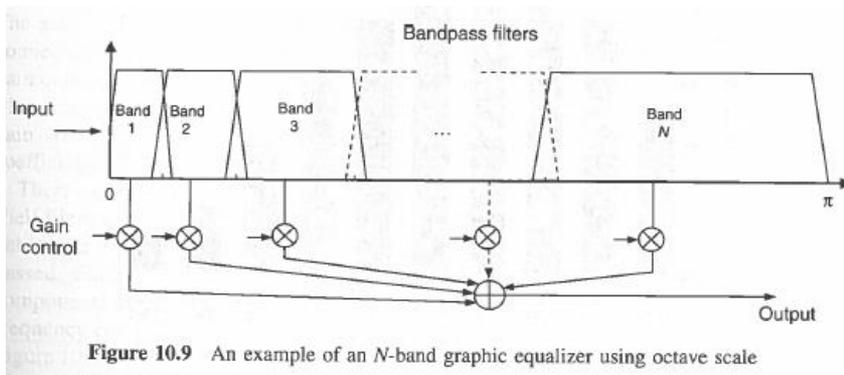
- Entropy (lossless) coding removes redundancy in coded data without loss in quality
- Pure entropy coding (lossless-only)
  - Huffman encoding – statistical coding
    - More often occurring symbols have shorter code words
    - Fast method using a lookup table
  - Cannot achieve very high compression
- Extended lossless coding
  - Lossy coder followed by entropy coding
  - 20% compression gain
    - MP3 – perceptual coding followed by entropy coding
- Scalable lossless coding
  - Can have perfect reproduction
  - Input first encoded, residual error is entropy coded
  - Results in two bit streams
    - Can choose lossy lowbit rate and combine for high quality lossless

# Audio Equalizers

- Spectral equalization uses filtering techniques to reshape magnitude spectrum
  - Useful for recording and reproduction
- Example uses
  - Simple filters to adjust bass and treble
  - Correct response of microphone, instrument pickups, loudspeakers, and hall acoustics
- Parametric equalizers provide better frequency compensations but require more operator knowledge than graphic equalizers

# Graphic Equalizers

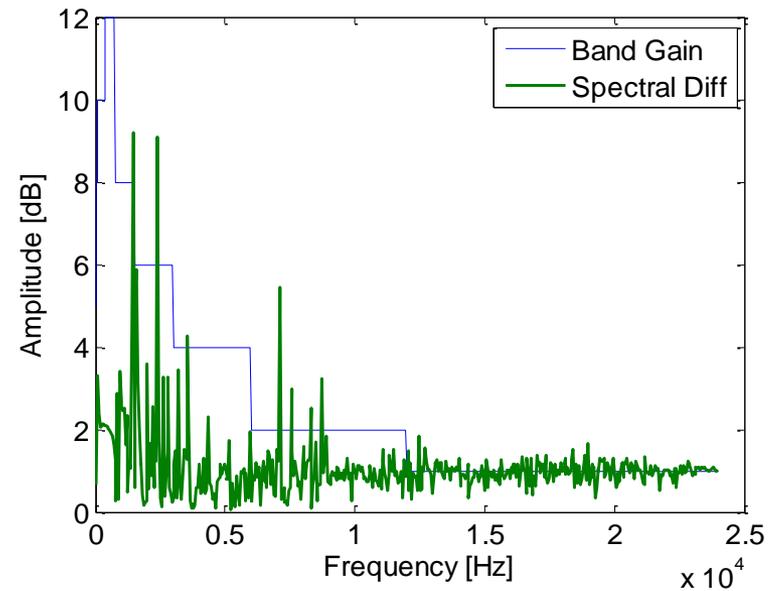
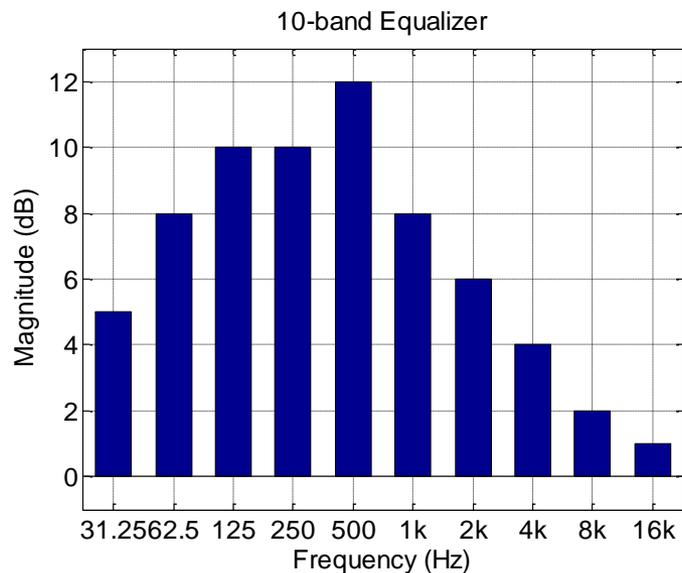
- Use of several frequency bands to display and adjust the power of audio frequency components



- Divide spectrum using octave scale (doubling scale)
  - Bandpass filters can be realized using IIR filter design techniques
  - DFT bins of audio signal  $X(k)$  need to be combined to form the equalizer frequency bands
    - Use octave scaling to combine
- Input signal decomposed with bank of parallel bandpass filters
  - Separate gain control for each band
  - Signal power in each band estimated and displayed graphically with a bar

# Example 10.4

- Graphic equalizer to adjust signal
- Select bands
  - Use octave scaling
  - `bandFreqs =`  
`{ '31.25', '62.5', '125', '250', '500',`  
`'1k', '2k', '4k', '8k', '16k' };`



# Parametric Equalizers

- Provides a set of filters connected in cascade that are tunable in terms of both spectral shape and filter gain
  - Not fixed bandwidth and center as in graphic
  - Use 2nd-order IIR filters
- Parameters:
  - $f_s$  - sampling rate
  - $f_c$  - cutoff frequency [center (peak) or midpoint (shelf)]
  - $Q$  – quality factor [resonance (peak) slope (shelf)]
  - *Gain* – boost in dB (max  $\pm 12$  dB)

# Shelf Filters

- Low-shelf
  - Boost frequencies below cutoff and pass higher components
- High-shelf
  - Boost frequencies above cutoff and pass rest
- See book for equations

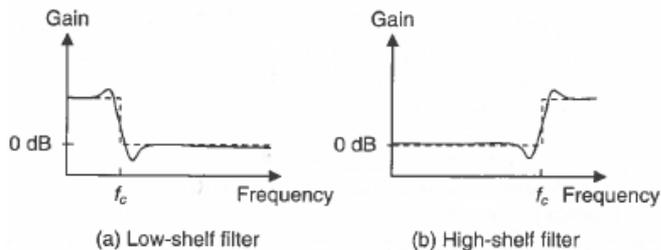
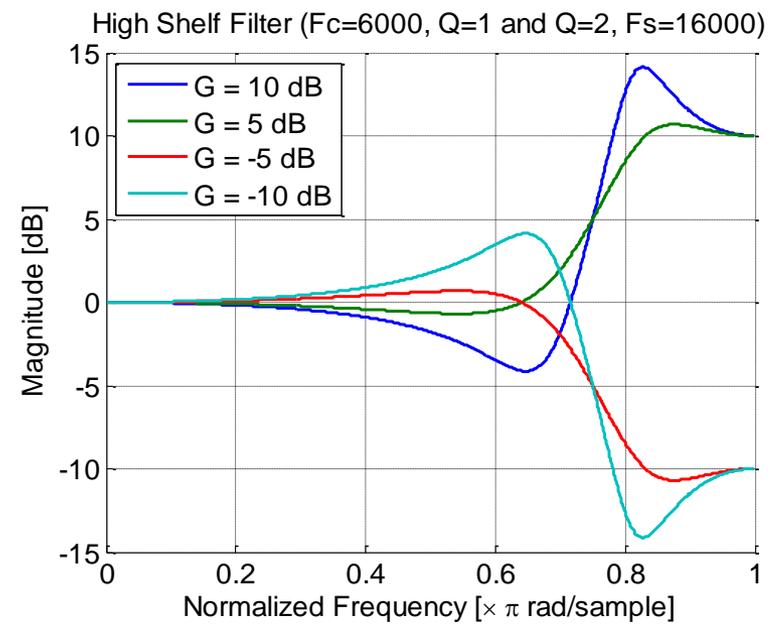
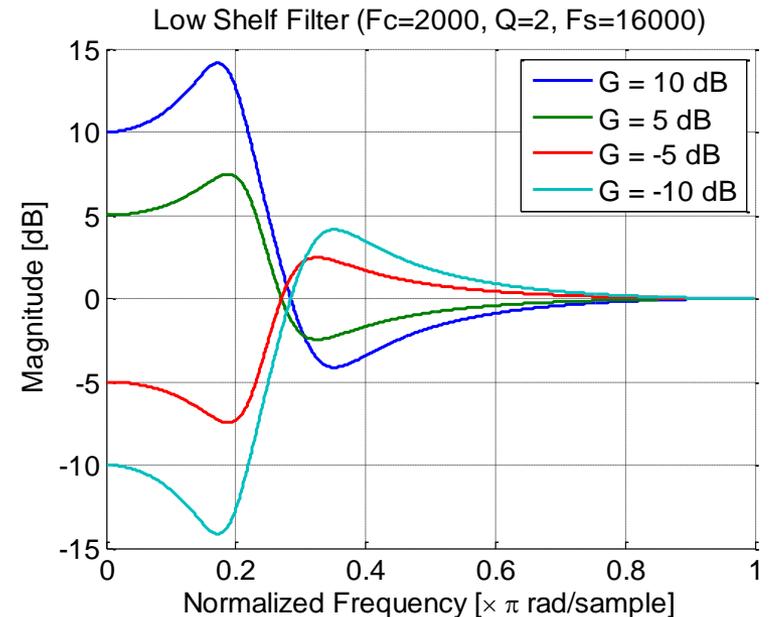


Figure 10.11 Magnitude responses of shelf filters

- Ex 10.6
  - Shape of shelf filter with different gain parameters

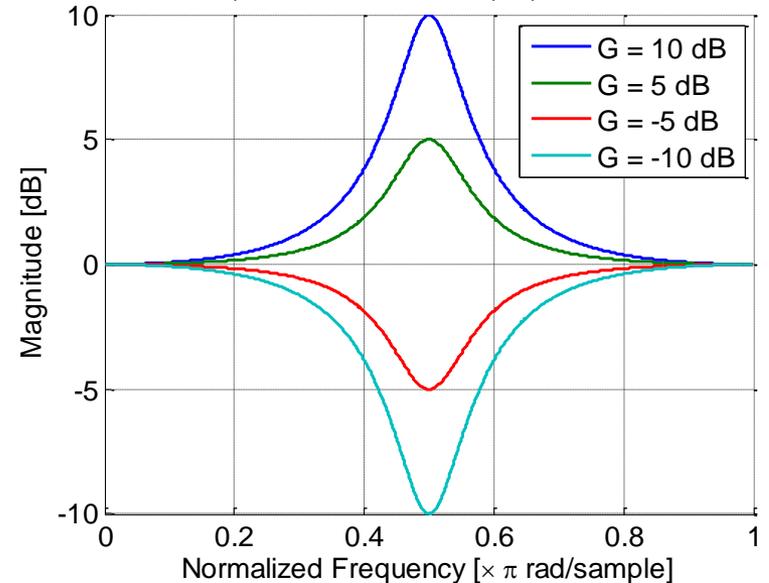


# Peak Filter

- Peak filter – amplify certain narrow frequency bands
- Notch filter – attenuate certain narrow frequency bands
- E.g. loudness of certain frequency
- See book for equations

- Ex 10.5
  - Shape of peak filter for different parameters

Peak/Notch Filter ( $F_c=4/16$ ,  $Q=2$ , Gain(dB)=10,5,-5,-10,  $F_s=16000$ )



# Example 10.7

- Implement parametric equalizer
  - $f_s = 16,000$  Hz
- Cascade 3 filters:
  - Low-shelf filter
    - $f_c = 1000$ ,  $Gain = -10$  dB,  $Q = 1.0$
  - High-shelf filter
    - $f_c = 4000$ ,  $Gain = 10$  dB,  $Q = 1.0$
  - Peak filter
    - $f_c = 7000$ ,  $Gain = 10$  dB,  $Q = 1.0$
- Play example file outside of powerpoint
  - Left channel – original signal
  - Right channel - filtered



# Audio (Sound) Effects

- Use of filtering techniques to emphasize audio signal in “artistic” manner
- Will only mention and give examples of some common effects
  - Not an in-depth look

# Sound Reverberation

- Reverberation is echo sound from reflected sounds
- The echoes are related to the physical properties of the space
  - Room size, configuration, furniture, etc.
- Use impulse response to measure
- Direct sound
  - First sound wave to reach ear
- Reflected sound
  - The echo waves that arrive after bouncing off a surface
- Example 10.8
- Use hall impulse response to simulated reverberated sound

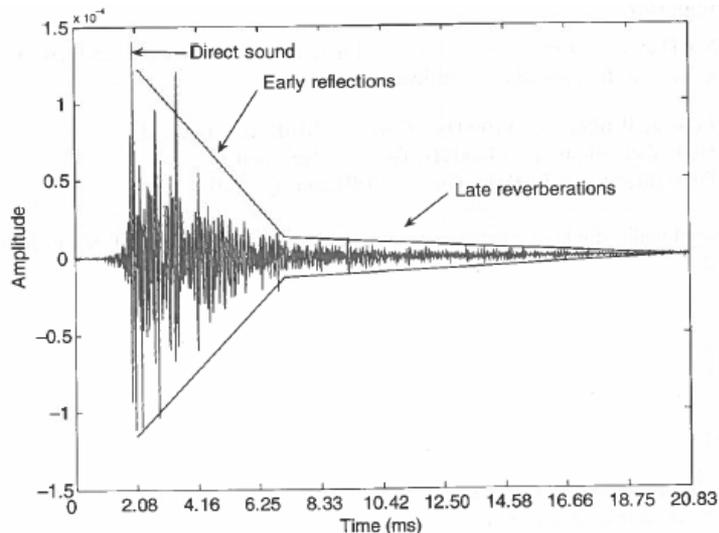


Figure 10.15 An example of a room impulse response

- Input

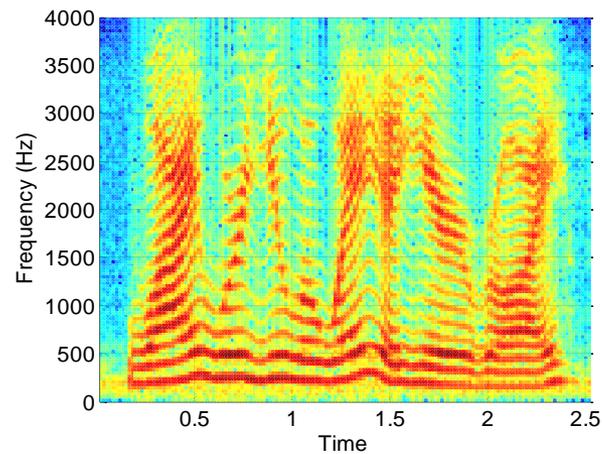


- Output

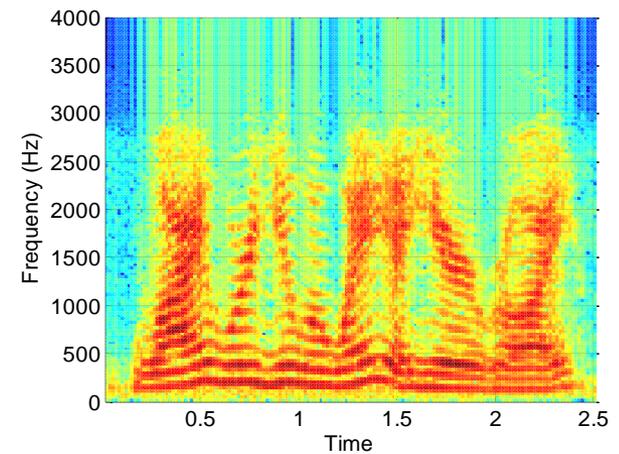


# Pitch Shift

- Change speech pitch (fundamental frequency)
- All frequencies are adjusted over the entire signal
  - Chipmunk voice
- Example 10.9a
  - Adjust pitch
- See audio files



original

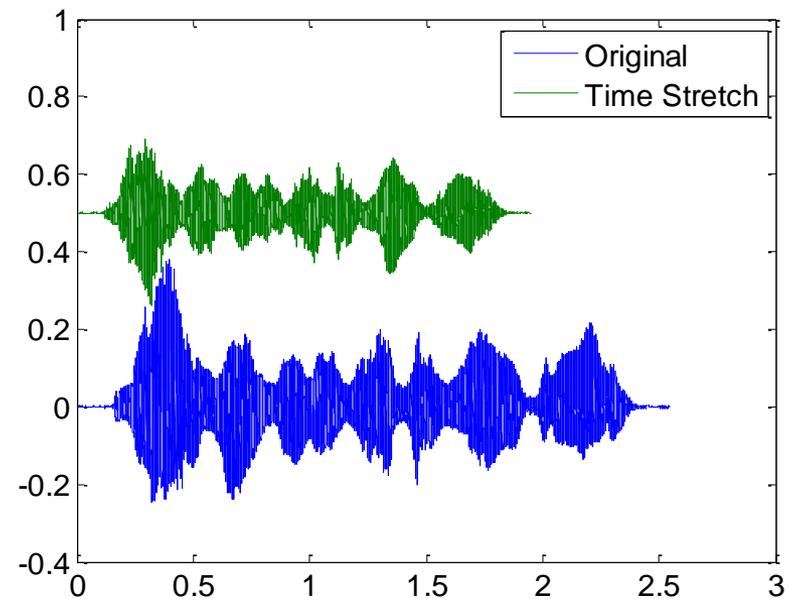


pitch shifted

# Time Stretch

- Change speed of audio playback without affecting pitch
- Audio editing: adjust audio to fit a specific timeline

- Example 10.9b
  - Adjust play time
- See audio files



# Tremolo

- Amplitude modulation of audio signal
  - $y(n) = [1 + AM(n)]x(n)$ 
    - $A$  – max modulation amplitude
    - $M(n)$  – slow modulation oscillator

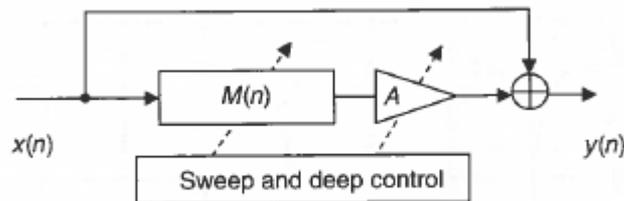
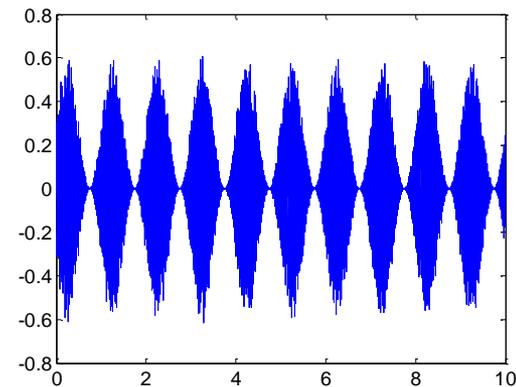
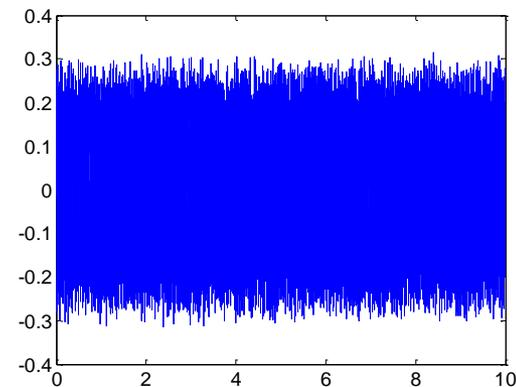


Figure 10.23 A block diagram of tremolo using low-frequency modulation

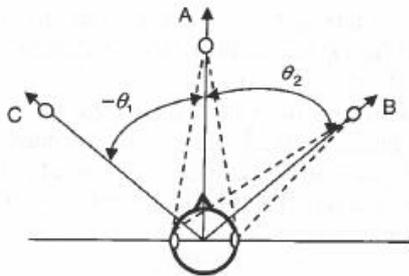
- $M(n) = \sin(2\pi f_r nT)$ 
  - $f_r$  - modulation rate

- Example 10.10
  - $A = 1, f_r = 1 \text{ Hz}$
  - White noise input at  $f_s = 8000 \text{ Hz}$



# Spatial Sounds

- Audio source localization determined by the way it is perceived by human ears
  - Time delay and intensity differences



**Figure 10.25** The sound source A directly in front of the head and the source B displaced at the  $\theta_2^\circ$  azimuth

- Sounds in different positions arrive differently at ears
  - Interaural time difference (ITD) - delay between sounds reaching ear for localization
  - Interaural intensity difference (IID) - loudness difference for localization

- Binaural audio demos
  - Great home fun
  - <http://www.youtube.com/watch?v=IUDTlvagjJA>
  - <http://www.youtube.com/watch?v=3FwDa7TWHHc>
  - <http://www.qsound.com/demos/binaural-audio.htm>
  - <http://www.studio360.org/story/126833-adventures-3d-sound/>